COM00166M

Department of Computer Science

Applied Artificial Intelligence

SUMMATIVE ASSESSMENT BRIEF

|  |  |
| --- | --- |
| **Author** | Saul Cross |
| **Assessment type** | Summative assignment |
| **Weighting** | 100% |
| **Release** | Week 3 |
| **Deadline** | Monday following Week 8, 13:00 (UK time) \* |

\* If this date falls on a UK public holiday or a University of York closure day, the submission date will change. Please check the submission point in the ‘Assignments’ area of the module in Canvas for the exact submission deadline.

# Module Learning Outcomes

The module learning outcomes for this module are as follows:

1. Select and apply appropriate AI algorithms and methodologies, with consideration for optimisation and scale to meet business objectives and performance targets.
2. Critically evaluate AI methodologies through experimental design, exploratory modelling, and hypothesis testing.
3. Critically analyse techniques for the extraction of data from systems, ensuring standards of data quality and consistency for processing by AI systems
4. Identify and discuss appropriate application areas and problems for current AI techniques, such as: neural networks, deep learning, genetic algorithms and local search approaches.

选择并应用适当的 AI 算法和方法，同时考虑优化和扩展以满足业务目标和性能目标。 通过实验设计、探索性建模和假设检验批判性地评估 AI 方法。 批判性地分析从系统中提取数据的技术，确保数据质量和人工智能系统处理的一致性标准 确定并讨论当前人工智能技术的适当应用领域和问题，例如：神经网络、深度学习、遗传算法和本地搜索方法。

This assessment addresses all the module learning outcomes listed above.

# Assessment Background/Scenario

You are working as an AI engineer at a reputable organisation. You have a client from the green energy sector who needs to be able to evaluate the impact of various green and sustainable energy sources on CO2 emissions in the UK. They have acquired data from two independent sources, the first covering CO2 emissions by country over time with detail of the contribution of various fossil fuels and other activities, while the second charts energy consumption in a 30-year series with a detailed breakdown of the contribution from renewable energy sources. The challenge is to make a meaningful connection between the two sets of data in order to build a predictive model to demonstrate the impact on CO2 emissions of replacing non-renewable energy sources with renewable energy sources. You have carried out an initial data exploration and found:

评估各种绿色和可持续能源对英国二氧化碳排放的影响。

第一份涵盖各国随时间推移的二氧化碳排放量，详细介绍了各种化石燃料和其他活动的贡献，而第二份则按30年系列绘制了能源消耗图表，详细细分了可再生能源的贡献。

挑战在于在两组数据之间建立有意义的联系，以便建立一个预测模型，以证明用可再生能源取代不可再生能源对二氧化碳排放的影响。

* The data provided is taken from the two independent sources and is significantly imbalanced.
* The data contains both numeric and nominal attributes.
* There are a few missing values in the data.

所提供的数据取自两个独立来源，并且明显不平衡。

数据包含数值和名义属性。 数据中缺少一些值。

You’ve had a meeting with your client and have agreed to model the data using artificial intelligence techniques - namely, supervised learning and feature selection optimisation. 使用人工智能技术（即监督学习和特征选择优化）对数据进行建模。Feature selection is important in removing irrelevant attributes and helps reduce computation cost. You are expected to present a report to your client by constructing two robust models which must follow the guidelines presented below:

特征选择对于删除不相关的属性非常重要，有助于降低计算成本。您需要通过构建两个强大的模型来向您的客户提交一份报告，这两个模型必须遵循以下指南：

* Design and build a supervised learning model on the full data.
* 在完整数据上设计和构建监督学习模型。
* Use optimisation techniques (learned in this module) to find a subset of relevant features.
* 使用优化技术（在本模块中学习）查找相关功能的子集。
* Design and build a supervised learning model on the derived subset of features.
* 在派生的特征子集上设计和构建监督学习模型。
* Critically evaluate the two learning models (with and without feature selection). 批判性地评估两种学习模型（有和没有特征选择）。
* Evaluate the robustness of the generated models by applying appropriate validation techniques (and identifying a suitable subset of data for validation). 通过应用适当的验证技术（并确定合适的数据子集进行验证）来评估生成的模型的稳健性。

While setting the parameters of the optimisation methods, pay special attention to selecting an appropriate fitness function (evaluation criteria). The fitness function plays an important role in the relative success or failure of the potential solutions and setting the direction of the search. 在设置优化方法的参数时，特别注意选择合适的适应度函数（评估标准）。适应度函数在潜在解决方案的相对成功或失败以及确定搜索方向方面起着重要作用。

The full datasets will be provided once this assessment is open, together with some additional documentation, including the acknowledgement/referencing details for the datasets which will also be of use in your secondary research.

Please note that you may use any tools to develop your models, e.g. WEKA, Java/Tensorflow, Python/Scikitlearn, etc., but you must state which tools were used and why. Your source files must be presented in a form that can be opened/reviewed by the assessor, e.g. arff (WEKA), .csv (for raw/source data), specific source code (dependent on language if programming).

# Assessment Tasks

You are required to design and build solutions, and to write a report based on the discussed scenario and the data provided. You should clearly draw on the current literature and use examples from your work throughout this module as supporting evidence for the approach. Your report should provide an initial executive summary and consist of five clear sections - one for each task outlined below. Further formatting details are also given below.您需要设计和生成解决方案，并根据所讨论的方案和提供的数据编写报告。您应该清楚地利用当前的文献，并在整个模块中使用您工作中的示例作为该方法的支持证据。您的报告应提供初始执行摘要，并包含五个清晰的部分 - 下面概述的每个任务各一个部分。下面还给出了进一步的格式详细信息。

### Overall Academic Quality (10% weighting)

Covered by all tasks.

Executive Summary (10% weighting)*(suggested - 300 words)*

Overview/summary of the report which should at least contain:

1. What was achieved/undertaken.
2. What processes were applied.
3. What tools were used and why.
4. What the results demonstrated.
5. What should be reconsidered in future.

取得了什么成就/开展了哪些工作。 应用了哪些流程。 使用了哪些工具以及为什么使用。 结果证明了什么。 将来应该重新考虑什么。

Task 1 (10% weighting) - Introduction *(suggested - 500 words)*

In the context of the scenario provided, your introduction should at least contain:

1. A brief description of the business problem and its significance to the relevant sector.
2. Background information on the field of AI.
3. A description of the link between the business problem and the field of AI.
4. A brief description of the proposed solution.

业务问题的简要描述及其对相关部门的重要性。 人工智能领域的背景信息。 描述业务问题与 AI 领域之间的联系。 建议的解决方案的简要说明

Task 2 (20% weighting) - Literature Review   
*(suggested - 700 words)*

Given the scenario above, research and identify the main areas of investigation which the research community is currently tackling. Consider the following questions: 研究并确定研究界目前正在处理的主要调查领域

1. What are the current ‘problem’ areas and how is AI helping to solve these problems?
2. What techniques have been developed to effectively address those business problems?
3. What tools have been used and what are the selection criteria for them?
4. How are these techniques being evaluated in the context of the ‘problem’?
5. Critically evaluate various approaches/solutions presented in the literature.

当前的“问题”领域是什么，人工智能如何帮助解决这些问题？ 已经开发了哪些技术来有效地解决这些业务问题？ 使用了哪些工具，它们的选择标准是什么？ 如何在“问题”的背景下评估这些技术？ 批判性地评估文献中提出的各种方法/解决方案。 围绕这些问题进行讨论，并考虑当前研究如何潜在地改变或改进您对给定场景的解决方案。

Present a discussion around these questions and consider how current research could potentially change or improve your solution to the given scenario.

Task 3 (20% weighting) - Research Design   
*(suggested - 500 words)*

Given the scenario above, design and discuss the potential modelling solution(s). You are required to design the solution(s) of the presented scenario. Moreover, you need to strongly justify the techniques selected in the context of the ‘problem in hand’. You must select one supervised learning algorithm, and one optimisation algorithm to complete this task.鉴于上述方案，设计并讨论潜在的建模解决方案。您需要设计所呈现方案的解决方案。此外，您需要强烈证明在“手头问题”的上下文中选择的技术。您必须选择一个监督学习算法和一个优化算法才能完成此任务。

Your report should clearly cover the following:

1. Any assumptions you are making about the given scenario.
2. Any pre-processing you would undertake to make the data fit for purpose.
3. Which optimisation techniques you would apply for feature selection and why (the techniques covered in this module are: hill climbing, simulated annealing, tabu search and genetic algorithms).
4. Which supervised learning techniques you would apply and why (the techniques covered in this module are: artificial neural networks, decision trees, naïve Bayes and support vector machines).
5. An evaluation of the techniques applied in terms of the accuracy of their results (or any other suitable evaluation measure).
6. Algorithmic parameters should be adequately stated and discussed.

您对给定方案所做的任何假设。 您将进行的任何预处理，以使数据适合目的。 您将应用哪些优化技术进行特征选择以及原因（本模块涵盖的技术包括：爬山、模拟退火、禁忌搜索和遗传算法）。 您将应用哪些监督学习技术以及为什么（本模块涵盖的技术是：人工神经网络、决策树、朴素贝叶斯和支持向量机）。 根据结果的准确性（或任何其他适当的评估措施）对所应用技术的评估。 算法参数应充分陈述和讨论。

### Task 4 (20% weighting) - Experimental Results and Analysis

*(suggested - 700 words)*

After carrying out the modelling of the data provided, both with and without feature selection, this section must at least cover the following points:

1. Present your findings in a clear and concise manner.
2. Discuss your results in the context of the selected optimisation algorithm and supervised learning technique.
3. Discuss how these results can help the business in evaluating the impact of green energy on CO2 emissions.
4. Your arguments should also be supported by the relevant literature.
5. Present the limitations (if any) of your approach in a clear and concise manner.

以清晰简洁的方式展示您的发现。 在所选优化算法和监督学习技术的上下文中讨论您的结果。 讨论这些结果如何帮助企业评估绿色能源对二氧化碳排放的影响。 您的论点也应得到相关文献的支持。 以清晰简洁的方式介绍方法的局限性（如果有的话）。

Task 5 (10% weighting) - Conclusion *(suggested - 300 words)*

Your conclusion must at least cover the following points:

1. A summary of the main points.
2. A discussion of the significance of your results.
3. Any recommendation(s) resulting from your analysis.

# Deliverables

Your assignment should be laid out following the formatting guidelines that are specified in the ‘Submission Formatting’ page in Canvas.

You should submit the following to the Canvas submission point:

* A zipped file containing your solution source files (not executables), in .zip format ONLY
* A separate file containing your report, in either .docx or .pdf ONLY

包含解决方案源文件（非可执行文件）的压缩文件，格式仅为.zip 包含报表的单独文件，仅.docx或.pdf 如果要提交多个文件，则必须同时上传所有文件，以确保将它们标记为单个提交。如果要重新提交

If you are submitting multiple files, you **must** upload all files simultaneously to ensure that they are marked as a single submission. If you want to resubmit one component of your work, you need to re-upload all other files at the same time: every submission must include **all** of the deliverables listed in the assessment brief.

Your report should be no more than **3,000 words** in total. For each task contributing to your report, we have provided *guidelines* above on the suggested word counts for each section; however, it is your choice how to use the word count limit across the whole of this report. Exceeding the word count will result in any work beyond the word count being disregarded when assessing.

Appendices may be used but should **not exceed 5 additional pages** and all content must be referred to and discussed in the main body of the text. Any content that is not cross-referenced within the report will NOT be considered.

Appendices should **only** be used for supportive information, such as over-large figures or tables of data. They are **not** a device to incorporate material that would otherwise cause you to exceed the word limit. These are not included in the word count.

Your references should come after any appendices and are also not included in the word count.

## Referencing

You are required to use the [IEEE referencing style](https://subjectguides.york.ac.uk/referencing-style-guides/ieee) for citing books, articles, and all other sources (like websites) used in your assignment.

Good referencing is essential in order to meet the standards of academic integrity set by the University. All of your sources must be acknowledged, regardless of whether you included direct quotes or not. Visit your **Academic Integrity Tutorial** module in Canvas for additional guidance on effective referencing.

# Marking Criteria

|  |  |  |  |
| --- | --- | --- | --- |
| Learning Outcome | Section/Task | Criteria | Available marks |
|  | **Overall Academic Quality** | | |
| 1, 2, 3 & 4 | All Tasks | Clear and coherent across all tasks with appropriate, relevant and effective referencing and citation. | **10** |
|  | **Executive summary** | | |
| 1, 2, 3 & 4 | N/A | Full and clear overview of report. | **10** |
|  | Introduction | | |
| 1 | Task 1 | Clear description of the problem and its significance to the sector. Discussion of relevant background/underpinning theory in AI. Alignment of the problem to the field of AI. Description of the solution(s) presented.清楚地描述问题及其对该行业的重要性。讨论人工智能的相关背景/基础理论。将问题与人工智能领域保持一致。所提供解决方案的描述。 | **10** |
|  | **Literature Review** | | |
| 4 | Task 2 | Examines current, relevant problem areas. Considers and evaluates proposed solutions. Includes a critical evaluation of any, and all relevant approaches/solutions under review.检查当前相关的问题区域。考虑和评估建议的解决方案。包括对任何以及正在审查的所有相关方法/解决方案的批判性评估。 | **20** |
|  | **Research Design** | | |
| 1, 2, 3 & 4 | Task 3 | To cover pre-processing techniques, selection of features and optimisation techniques, evaluation of selected techniques and discussion of algorithmic parameters, accompanied by a clear and justified rationale in each case.涵盖预处理技术、特征选择和优化技术、所选技术的评估和算法参数的讨论，并在每种情况下附有明确和合理的理由。 | **20** |
|  | **Experimental Results and Analysis** | | |
| 2 & 4 | Task 4 | A clear and concise presentation of the results to include mapping of results to the problem; reference to relevant literature and discussion of the solutions in context. | **20** |
|  | **Conclusion** | | |
| 1, 2, 3 & 4 | Task 5 | A clear and concise presentation of findings with consideration of limitations and further development.清晰简洁地介绍研究结果，同时考虑到局限性和进一步发展。 | **10** |
|  | **TOTAL**: | | **100** |

**NOTE:** Failure to submit the required elements (the report and/or the supporting zip file containing your solution source files) will result in a grade of **ZERO**. Work NOT submitted in the requested formats (.docx or .pdf for the report and source files in an appropriate and readable format, e.g. .arff, .csv or relevant source code) may **NOT** be considered.

# Marking Criteria: Grade breakdown

## Overall Academic Quality 10%

|  |  |  |
| --- | --- | --- |
| 0-39% | Fail | The documentation is poorly structured and flows badly, with little evidence of the effective use of referencing and citation. |
| 40-49% | Compensatable fail | The documentation is not well structured and does not flow well, with very limited/ineffective use of referencing and citation. |
| 50%-59% | Pass | The documentation has a clear structure and is both legible and coherent but could flow better and make much better use of referencing and citation. |
| 60%-69% | Merit | The documentation is well structured with a clear and coherent flow and uses referencing and citation effectively to support the discussion. |
| 70%-100% | Distinction | The documentation is very well structured and flows well, making good use of referencing and citation throughout to support the discussion. |

## Executive Summary 10%

|  |  |  |
| --- | --- | --- |
| 0-39% | Fail | The executive summary is significantly incomplete. |
| 40-49% | Compensatable fail | The executive summary lacks clarity, providing only limited coverage of the report. |
| 50%-59% | Pass | The executive summary is reasonably clear and provides basic coverage for most of the key areas. |
| 60%-69% | Merit | The executive summary is clear, giving a good coverage of the key areas of the report. |
| 70%-100% | Distinction | The executive summary is both clear and concise with full coverage of the report. |

## Introduction 10%

|  |  |  |
| --- | --- | --- |
| 0-39% | Fail | The introduction is very limited with little or no theoretical underpinning, an undefined or poorly defined project and no clear alignment to the field of artificial intelligence. |
| 40-49% | Compensatable fail | The introduction is limited with little theoretical underpinning, the project is not clearly defined, and there is limited relevance to the field of artificial intelligence. |
| 50%-59% | Pass | The introduction is basic with limited theoretical underpinning, definition of the project and reference to relevant concepts in the field of artificial intelligence. |
| 60%-69% | Merit | The introduction gives a clear and concise coverage of the required points with the most relevant theoretical underpinning covered, a well-defined project, and a well-supported discussion of the relevant concepts in the field of artificial intelligence. |
| 70%-100% | Distinction | The introduction provides a very clear and concise coverage of the required points with most relevant theoretical underpinning covered, a very well-defined project and a very well-supported discussion of relevant concepts in the field of artificial intelligence. |

## Literature Review 20%

|  |  |  |
| --- | --- | --- |
| 0-39% | Fail | Coverage is very limited with little relevance in the sources selected and is not a good match for the subject matter under review, with very limited referencing and citation. |
| 40-49% | Compensatable fail | There is limited coverage and the sources selected could be more relevant as they are not a good match to the subject matter under review; referencing and citation are present but limited. |
| 50%-59% | Pass | There is basic coverage from mostly relevant sources that do match the subject matter under review to an extent, but referencing and citation could be much more thoroughly and effectively used. |
| 60%-69% | Merit | There is some detailed and effective coverage based on relevant sources and this is a good match to the subject matter under discussion that makes effective use of referencing and citation. |
| 70%-100% | Distinction | A very detailed yet concise literature review that identifies a good range of relevant sources and aligns well with the subject under discussion, with very effective use of referencing and citation seen throughout. |

## Research Design 20%

|  |  |  |
| --- | --- | --- |
| 0-39% | Fail | The research design element is very limited, with little valid coverage of the dataset/subset selection, pre-processing, selection criteria for the methods applied, feature selection and parameterisation. |
| 40-49% | Compensatable fail | The research design element is limited, with limited coverage of the dataset/subset selection, pre-processing, selection criteria for the methods applied, feature selection and parameterisation, and lacks rationale or justification for the decisions made. |
| 50%-59% | Pass | The research design element is basic, with some coverage of the dataset/subset selection, pre-processing, selection criteria for the methods applied, feature selection and parameterisation; with limited rationale or justification for the decisions made. |
| 60%-69% | Merit | The research design element is effective, with reasonable coverage of the dataset/subset selection, pre-processing, selection criteria for the methods applied, feature selection and parameterisation, and some rationale or justification for many of the decisions made. |
| 70%-100% | Distinction | The research design element is both clear and effective, with a good level of coverage of the dataset/subset selection, pre-processing, selection criteria for the methods applied, feature selection and parameterisation, and clear rationale or justification for most of the decisions made. |

## Experimental Results and Analysis 20%

|  |  |  |
| --- | --- | --- |
| 0-39% | Fail | Severely limited evidence of any analysis being carried out with very limited presentation of results or findings, very limited evaluation and no clear comparison between the two solutions under review. |
| 40-49% | Compensatable fail | Limited evidence of any analysis being carried out with limited presentation of results or findings, limited evaluation and limited comparison between the two solutions under review. |
| 50%-59% | Pass | Basic evidence of analysis and evaluation of the results from both solutions under review, although comparison may be limited and there is only very limited rationale or justification given for the approach(es) taken. |
| 60%-69% | Merit | Some good evidence of analysis and evaluation of the results from both solutions under review, with some clear comparisons made and reasonable rationale or justification given for the approach(es) taken and with some support from wider reading. |
| 70%-100% | Distinction | Clear and detailed (in-depth) evidence of analysis and evaluation of the results from both solutions under review, with clear and detailed comparisons made and a solid rationale or justification given for the approach(es) taken and well supported by wider reading. 对所审查的两种解决方案的结果进行分析和评估的清晰而详细（深入）的证据，进行清晰详细的比较，并为所采取的方法提供坚实的理由或理由，并得到更广泛阅读的充分支持。 |

## Conclusion 10%

|  |  |  |
| --- | --- | --- |
| 0-39% | Fail | The conclusion does not provide any meaningful insight into the findings or give any real consideration to limitations or potential further development. |
| 40-49% | Compensatable fail | The conclusion does not give full coverage of the findings and has very limited discussion of limitations and/or potential future development. |
| 50%-59% | Pass | The conclusion is basic, summarising the key points in the findings only and with limited consideration of limitations and further development. |
| 60%-69% | Merit | The conclusion provides a rich coverage of most of the key points in the findings and considers limitations and further development effectively with some support from wider reading. |
| 70%-100% | Distinction | The conclusion provides full coverage of the key points in the findings, with a clear discussion of constraints/limitations and potential for improvement/further development that is well supported by wider reading. |

# Assessment Submission

You will submit your assessment in the ‘Assignments’ area of the module in Canvas. Please check your Canvas module for the specific submission date for this assignment.

This assessment requires you to anonymously upload your submission to Canvas. If you are submitting multiple files, you must upload all files simultaneously to ensure that they are marked as a single submission. If you want to resubmit one component of your work, you need to re-upload all other files at the same time: every submission must include **all** files required by the assessment brief.

We recommend that you allow at least 30 minutes before the deadline to upload your submission, as failure to upload your assessment file within the allotted time is not admissible as an exceptional circumstance.

The webpage [How do I submit an online assignment?](https://community.canvaslms.com/t5/Student-Guide/How-do-I-submit-an-online-assignment/ta-p/503) provides further technical information on how to upload an assessment. The advice given here comes directly from Canvas. We do not recommend uploading assignments by mobile. We recommend you view the submission after uploading your work to ensure the correct file has been submitted and no technical errors have occurred.

If you face any technical difficulties whilst trying to submit this assessment, then contact Canvas support on [support@instructure.com](mailto:support@instructure.com) or +44 80 0060 8442 (available 24 hours) in advance of the deadline. You should also email [cs-online-admin@york.ac.uk](mailto:cs-online-admin@york.ac.uk) as a matter of urgency to report the issue and receive further instruction.

# Assessment Policies

This assessment is subject to the policies stated on the ‘Summative Assessment Policies’ page in Canvas. These policies include (but are not limited to):

* Academic Integrity and submission of student work to Turnitin
* Advice on anonymising your assessment
* Penalties for late submission
* Marking policy for multiple submissions
* The Fit to Sit / Submit policy
* Passing mark and module reassessment

Please ensure that you have read and understood these policies before starting the assessment.